

Shareholder Number Shareholder name

I certify that my personal information has not changed since my most recent questionnaire. (if it has, please provide the information below)

Date

Signature

Pursuant to "Know your customer" regulations, financial services providers and intermediaries are required to keep an up-to-date knowledge of their customers, including an understanding of their financial experience. This form must be completed for any new subscription application sent to the company CORUM Asset Management. It is designed to make sure that the investment considered is appropriate for your financial situation and investment objectives. This information will be updated regularly and whenever a change occurs. Please note that you must provide full and honest answers in order to receive a service and/or product best suited to your profile, as defined by the data provided herein. This questionnaire is applicable to all products distributed by the management company CORUM Asset Management.



YOU ARE AN INDIVIDUAL

APPLICANT

Mr Ms

Name

Surname

Date of birth

Place of birth

Politically exposed person ¹ Yes No

"US Person" ²: Yes No

No. of children: incl. dependent

Current situation

Owner Tenant Rent-free accommodation

Since:

Current rent/loan payments € /month

JOINT APPLICANT

Mr Ms

Name

Surname

Date of birth

Place of birth

Politically exposed person ¹ Yes No

"US Person" ²: Yes No

No. of children: incl. dependent

Current situation

Owner Tenant Rent-free accommodation

Since:

Current rent/loan payments € /month



YOU ARE A COMPANY

Legal form

Company name

Industry Industry code

Legal representative:

Surname

First name

Politically exposed person ¹: Yes No

"US Person" ²: Yes No

Beneficial owner

Is the legal representative a beneficial owner? Yes No

Other beneficial owners (surname, first name, company name)

Financial data from the last financial year

Assets €

Equity €

Financial assets of the company €

Revenue €

Net profit/loss €

Property assets of the company €

¹ Individual who is or has been entrusted with a public function, including immediate family members and close personal or professional associates of such individual
² As defined in the US tax law FATCA, any citizen or resident of the United States, any person presenting indicia that he/she may be subject to FATCA



OVERALL ASSETS (of your household)

You estimate your net worth at:

- Less than € 50,000
- Between € 50,000 and 100,000
- Between € 100,000 and 500,000
- Between € 500,000 and 1,000,000
- More than € 1,000,000

Consisting mainly of:

Home €

Cash €

Life insurance €

Share Savings Plan – Securities €

YOUR ANNUAL INCOME (net, of your household)

You estimate your net income at:

- Less than € 30,000
- Between € 30,000 and 50,000
- Between € 50,000 and 100,000
- Between € 100,000 and 500,000
- More than € 500,000

Investment capacity € /month

Consisting mainly of:

Earned income:

- Net salary € /year
- Pension € /year
- Business profits € /year

Property income € /year

Securities income € /year

Other (please specify) € /year

YOUR EXPENSES (of your household)

Outstanding loans

Rental property € /month

Consumer € /month

Other expenses (alimony...) :

Specify:

Amount € /month

OVERALL TAX SITUATION (of your household)

Country of tax residence:

Income tax: Yes No

Amount paid in income tax last year €

Property wealth tax (IFI): Yes No

Amount paid in property wealth tax last year €





YOUR KNOWLEDGE AND EXPERIENCE

In case of a joint application, please indicate the level of knowledge and experience of the less qualified applicant. In your best interest, you will be considered a novice if you do not answer a question.

How well do you know real estate markets?

- Very poorly
- Poorly
- Moderately
- Well

How well do you know financial markets?

- Very poorly
- Poorly
- Moderately
- Well

List the investment solutions

- Cash
- Bonds/bond funds
- Shares/equity funds
- Life insurance
- Indirect property investments (e.g. SCPI, OPCI, etc.)
- Direct property investments

of which you are aware:

-
-
-
-
-
-

which you use:

-
-
-
-
-
-

Specify their type of management

(multiple choices possible):

- Direct management
- Advisory management
- Managed account

Average frequency of your transactions:

- Monthly
- Quarterly
- Yearly
- Less than yearly
- Never

Number of transactions in the past 12 months:

YOUR INVESTMENT PROJECT WITH CORUM ASSET MANAGEMENT

Your objectives:

- Build wealth
- Diversify your portfolio
- Supplement your pension income
- Tax planning
- Estate planning
- Other (specify):

How much do you plan to invest in CORUM AM's products over the next 12 months:

- Less than € 15,000
- Between € 15,000 and 30,000
- Between € 30,000 and 50,000
- Between € 50,000 and 100,000
- More than € 100,000

Your investment horizon:

- Less than 5 years ¹
- Between 5 and 10 years
- More than 10 years

This amount represents:

- Between 1 and 5% of your assets
- Between 6 and 10% of your assets
- Between 11 and 20% of your assets
- More than 20% of your assets

¹ The recommended investment period is 8 to 12 years for CORUM Origin and CORUM XL, and 5 to 8 years for CORUM ECO.





HOW DO YOU FEEL ABOUT RISK?

Taking into consideration the expected return on this investment:

- Do you accept the capital risk? Yes No
- Are you willing to take the risk that distributed earnings may decrease during your holding period? Yes No
- Do you accept that the liquidity of your shares may be limited? Yes No
- Are you willing to take a risk related to currency exchange rates? Yes No
- Are you aware that financial markets fluctuate? Yes No

If you intend to make an investment with guaranteed capital and/or income, the investments offered by CORUM AM are not appropriate for your objectives. Please contact CORUM AM or your adviser if you require further information.

YOUR CLASSIFICATION UNDER THE MIFID DIRECTIVE

In accordance with applicable regulations under European Directive No. 2004/38 on Markets in Financial Instruments (MiFID), you have been classified as a **RETAIL CLIENT**.

If you wish to be classified as a **PROFESSIONAL CLIENT**, please contact your adviser.

HOW DID YOU FIND OUT ABOUT US?

- Financial adviser Advertisement Referral Other:

SIGNATURE(S)

“All of the above information is required for any investment with CORUM Asset Management (except for the question “How did you hear about us?”).

CORUM Asset Management is responsible for processing personal data within the meaning of Regulation 2016/679 of the European Parliament and of the Council of 27 April 2016 (hereinafter “RGPD”) and in accordance with Article 6 (1)(c) of RGPD. Authorised members of CORUM Asset Management, as well as any relevant legal, administrative or regulatory authorities and CORUM Asset Management service providers in their capacity as processors are solely entitled to receive some or all of the data.

I acknowledge (i) having been informed of my right to access, correct and delete my personal information at any time and restrict or object to its processing and of my right to data portability and (ii) having been made aware of the terms and conditions of use and the retention period of my data, available at en.corum.fr.

I agree to inform CORUM Asset Management of any change in my personal circumstances.”

In _____ on _____

Signature of the applicant
(and joint applicant if appropriate)

In _____ on _____

Signature of your advisor*

*Authorised representative under a distribution agreement with CORUM AM

CORUM Asset Management

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and authorised as an AIFM under Directive 2011/61/EU on 10 July 2014. Transactions and Payment Services Non-Exclusive Representative (MOBSP), Insurance Broker Representative (MIA) – Registered with ORIAS under No. 15003552 – www.orias.fr

